

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**04558.077001**

In Re Application Of: **Kazutaka UCHITOMI, et al.**

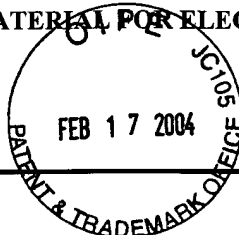
Serial No.  
**10/717,772**

Filing Date  
**11/20/2003**

Examiner

Group Art Unit

Title: **ACTIVE MATERIAL FOR ELECTRODE AND NON-AQUEOUS SECONDARY BATTERY USING THE SAME**



Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**22511**  
Patent Trademark Office

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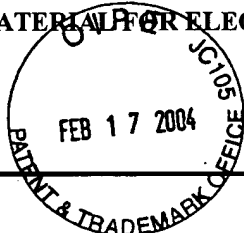
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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0591**  
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Signature of Person Mailing Correspondence

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Signature

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**Telephone: (713) 228-8600**  
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CC:

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	Docket Number (Optional) <b>04558.077001</b>	Application Number <b>10/717,772</b>
	Applicant(s) <b>Kazutaka UCHITOMI, et al.</b>	
	Filing Date <b>11/20/2003</b>	Group Art Unit

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	5,718,989	02/17/1998	Aoki et al.	429	218	12/27/1996
	A2	5,795,558	08/18/1998	Aoki et al.	423	594	10/09/1997
	A3	6,040,090	03/21/2000	Sunagawa et al.	429	231.95	04/14/1998
	A4	6,551,744 B1	04/22/2003	Ohzuku et al.	429	223	11/28/2000
	A5	2002/0086210 A1	07/04/2002	Naruoka et al.	429	223	11/08/2001
	A6	2003/0082452 A1	05/01/2003	Ueda et al.	429	231.1	11/14/2001

**FOREIGN PATENT DOCUMENTS**

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
A7	EP 1 189 296 A2	03/09/2001	Europe	H01M	4/48		
A8	WO 02/40404 A1	05/23/2002	Japan	C01G	53/00		✓
A9	8 - 37007	02/06/1996	Japan	H01M	4/58		✓
A10	11 - 25957	01/29/1999	Japan	H01M	4/02		✓
A11	2000 - 223122	08/11/2000	Japan	H01M	4/58		✓

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	A12	Patent Abstracts of Japan; Publication No. 08037007 A dated 02/06/1996 (1 pg.)
	A13	Patent Abstracts of Japan; Publication No. 2000-223122 dated 08/11/2000 (1 pg.)

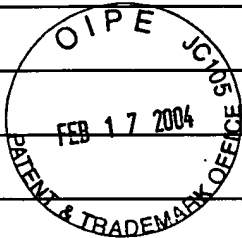
EXAMINER	DATE CONSIDERED
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**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. <b>04558.077001</b>	SERIAL NO. <b>10/717,772</b>
	Kazutaka UCHITOMI, et al.	
	FILING <b>11/20/2003</b>	GROUP

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE



**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	B1	2002 - 304993	10/18/2002	Japan	H01M	4/58		✓
	B2	2002 - 100357	04/05/2002	Japan	H01M	4/58		✓
	B3	2002 - 42813	02/08/2002	Japan	H01M	4/58		✓

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	B4	Patent Abstracts of Japan; Publication No. 2002-304993 dated 10/18/2002 (1 pg.)
	B5	Patent Abstracts of Japan; Publication No. 2002-100357 dated 04/05/2002 (1 pg.)

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